

1 Biosensors based on surface plasmon-enhanced fluorescence 2 spectroscopy (Review)

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5 (Received 2 May 2008; accepted 11 July 2008)

6 The implementation of surface plasmon-enhanced fluorescence spectroscopy (SPFS) to surface
7 plasmon resonance (SPR) biosensors enables increasing their sensitivity by several orders of
8 magnitude. In SPR-based biosensors, surface plasmons probe the binding of target molecules
9 contained in a liquid sample by their affinity partners attached to a metallic sensor surface. SPR
10 biosensors relying on the detection of refractive index changes allow for direct observation of the
11 binding of large and medium size molecules that produces sufficiently large refractive index
12 changes. In SPR biosensors exploiting SPFS, the capture of fluorophore-labeled molecules to the
13 sensor surface is observed by the detection of fluorescence light emitted from the surface. This
14 technique takes advantage of the enhanced intensity of electromagnetic field accompanied with the
15 resonant excitation of surface plasmons. The interaction with surface plasmons can greatly increase
16 the measured fluorescence signal through enhancing the excitation rate of fluorophores and by more
17 efficient collecting of fluorescence light. SPFS-based biosensors were shown to enable the analysis
18 of samples with extremely low analyte concentrations and the detection of small molecules. In this
19 review, we describe the fundamental principles, implementations, and current state of the art
20 applications of SPFS biosensors. This review focuses on SPFS-based biosensors employing the
21 excitation of surface plasmons on continuous metal-dielectric interfaces. © 2008 American Vacuum
22 Society. [DOI: 10.1116/1.2994688]

23 I. INTRODUCTION

24 Biosensors based on surface plasmon resonance (SPR) are
25 optical devices which rely on the excitation of surface plas-
26 mons (SPs)—electromagnetic waves guided at the interface
27 between a metal and a dielectric. In these devices, surface
28 plasmons are used to probe the binding of target molecules
29 contained in a liquid sample to their affinity partners an-
30 chored to the metallic sensor surface. The capture of target
31 molecules on the surface leads to a local increase in the
32 refractive index which can be directly measured from in-
33 duced shift in the SPR angle of incidence or wavelength.
34 This approach offers the advantage of label-free detection
35 and it found numerous applications in the analysis of biomo-
36 lecular interactions and for the detection of chemical and
37 biological species.^{1–3}

38 However, the detection of small molecules and the analy-
39 sis of samples with very low concentrations of analytes re-
40 main a challenge for SPR biosensors. In order to increase
41 their sensitivity, research has been carried out to improve the
42 resolution of SPR-based measurement of refractive index
43 changes^{4,5} as well as toward the amplification of the sensor
44 response. Over the past years, amplification approaches ex-
45 ploiting enzymatic reactions and labeling with gold nanopar-
46 ticles and chromophores were developed for SPR biosensors
47 pushing their detection limit by several orders of
48 magnitude.^{6–11} For instance, direct measurement of binding
49 induced refractive index changes enables the detection of

DNA hybridization at concentrations 0.1 nM.^{12,13} The refrac- 50
tive index changes were shown to be dramatically increased 51
by employing gold nanoparticle labels which allowed for the 52
detection of DNA hybridization at concentrations of as low 53
as 10 pM.⁶ By combining the gold nanoparticle labels with 54
SP-enhanced diffraction on periodically patterned metallic 55
surface, sensing of RNA at 10 fM levels was achieved.⁷ The 56
same limit of detection was achieved for the detection of 57
RNA by using gold nanoparticle labels and polyadenyl en- 58
zyme amplification.¹¹ The detection of DNA at concentra- 59
tions reaching 100 fM level through a chromophore-labeling 60
and surface plasmon-enhanced fluorescence spectroscopy 61
(SPFS) was reported.¹⁴ 62

In this review, we summarize the current state of the art 63
SPR-based biosensors relying on SPFS. This method comb- 64
ines SPR biosensing with fluorescence spectroscopy which 65
provides a novel platform for highly sensitive observation of 66
biomolecular binding events.^{9,15} Compared to other tech- 67
niques utilizing fluorescence spectroscopy,^{16–18} the SPFS 68
method offers a greatly increased fluorescence signal owing 69
to the surface plasmon-enhanced intensity of the electromag- 70
netic field on the sensor surface. Further, we focus on SPFS 71
biosensors that exploit SPs propagating along continuous 72
metallic films. Reviews on the fluorescence spectroscopy 73
techniques utilizing localized surface plasmons on nano- 74
structured metallic materials can be found elsewhere.^{19,20} 75

II. SURFACE PLASMONS ON THIN METALLIC FILMS 76

SPs are optical waves that originate from coupled collec- 78
tion oscillations of the electron plasma and the associated 79

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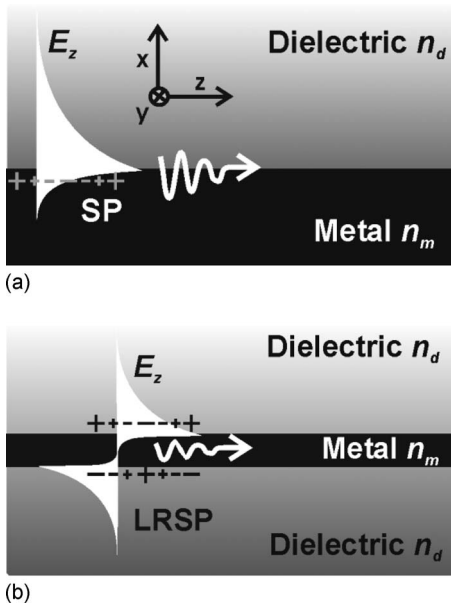


FIG. 1. (a) SP propagating on a metal-dielectric interface and (b) LRSP guided along a thin metal film embedded between dielectrics with identical refractive index.

80 electromagnetic field on a metallic surface,²¹ see Fig. 1(a).
 81 Along an interface between a semi-infinite metal and a di-
 82 electric, SPs propagate with the complex propagation con-
 83 stant β described as

$$84 \quad \beta = k_0 \sqrt{\frac{n_m^2 n_d^2}{n_m^2 + n_d^2}}, \quad (1)$$

85 where $k_0 = 2\pi/\lambda$ is the wave vector of light in vacuum, λ is
 86 the wavelength, n_d is the refractive index of the dielectric,
 87 and n_m is the (complex) refractive index of the metal. The
 88 electromagnetic field of SP is transverse magnetic (TM) po-
 89 larized and decays exponentially from the metal-dielectric
 90 interface. Typically, the penetration depth of SP into the di-
 91 electric is several hundreds of nanometers, whereas the pen-
 92 etration depth into the metal is an order of magnitude lower.
 93 Due to the losses within a metal, the energy of SP wave
 94 dissipates while it propagates along the metallic surface. For
 95 instance, on a gold-air interface the propagation length of SP
 96 reaches $56 \mu\text{m}$ for the wavelength $\lambda = 0.85 \mu\text{m}$ and $8 \mu\text{m}$
 97 for the wavelength $\lambda = 0.633 \mu\text{m}$. The propagation length of
 98 SPs can be increased by more than an order of magnitude by
 99 coupling of two SPs propagating on opposite interfaces of a
 100 thin metal film surrounded by dielectrics with identical re-
 101 fractive indices n_d . Such a symmetrical refractive index
 102 structure supports a special SP mode with an antisymmetric
 103 profile of the electric intensity field component that is paral-
 104 lel to the interface, see Fig. 1(b). This mode is referred to as
 105 long range SP (LRSP) (Ref. 22) and it obeys the following
 106 dispersion relation:

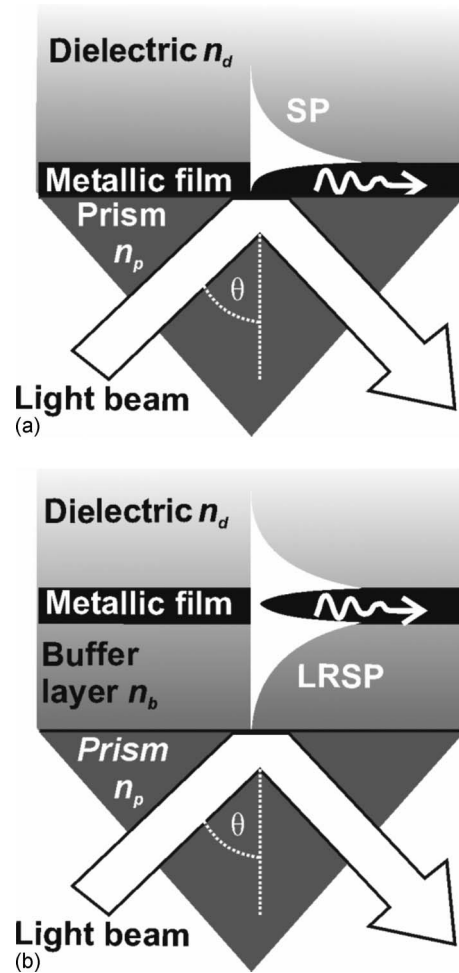


FIG. 2. Prism couplers utilizing the ATR method for the excitation of (a) SPs and (b) LRSPs.

$$\tan(\kappa d_m) = \frac{2\gamma_m^2 / \kappa n_d^2}{1 - (\gamma_m^2 / \kappa n_d^2)^2}, \quad (2) \quad 107$$

where d_m is the thickness of the metal film and $\kappa = (k_0^2 n_m^2 - \beta^2)^{1/2}$ and $\gamma = (\beta^2 - k_0^2 n_d^2)^{1/2}$ are the transverse propagation constants in the metal and dielectric media, respectively. 110

For the optical excitation of surface plasmons, mostly 111
 prism and grating couplers are used to establish the phase- 112
 matching between an exciting light beam and surface plasmons. 113
 In SPR prism couplers relying on the attenuated total reflection 114
 method (ATR) with the Kretschmann geometry, a light beam is 115
 launched into a high refractive index glass prism (refractive index 116
 n_p) with a thin metal film (refractive index n_m) and a lower refractive 117
 index dielectric (refractive index $n_d < n_p$) on its base, see Fig. 2(a). 118
 The light beam is made incident at the prism base at the angle θ for 119
 which it is total internal reflected. Upon the total internal reflection, 120
 the light beam penetrates via its evanescent field into the thin 121
 metal film and reaches the outer interface between the metal 122
 and the lower refractive index dielectric. For a sufficiently 123
 high refractive index of the prism, the component of the 124
 125

126 propagation constant of the light beam that is parallel to the
 127 surface $k_0 n_p \sin(\theta)$ can be matched to that of SP on the metal
 128 outer interface,

129 $k_0 n_p \sin(\theta) = \text{Re}\{\beta\}$, (3)

130 where $\text{Re}\{\beta\}$ is the real part of the propagation constant of
 131 SP described by Eq. (1). As Fig. 2(b) shows, long range
 132 surface plasmons can be excited by using a prism coupler
 133 with a layer structure consisting of a dielectric buffer layer
 134 with refractive index n_b , a thin metal film, and a top dielec-
 135 tric with a refractive index n_d that is close to the one of the
 136 buffer layer $n_d \approx n_b$. Similarly, the coupling to LRSP can
 137 occur if its real part of the propagation constant $\text{Re}\{\beta\}$ that is
 138 described by Eq. (2) matches the parallel component of the
 139 propagation constant of the light beam $k_0 n_p \sin(\theta)$.

140 If the condition (3) holds, the coupling of the light beam
 141 to the surface plasmon modes can occur, which gives rise to
 142 a characteristic resonant dip in the spectrum of the reflected
 143 intensity, see Fig. 3(a). As shown in Fig. 3(b), the energy of
 144 the incident light beam is concentrated at the metallic surface
 145 upon the excitation of surface plasmon modes providing a
 146 strong enhancement of the intensity of the electromagnetic
 147 field. These simulations show that LRSPs are excited at
 148 lower angles compared to SPs due to their smaller real part
 149 of the propagation constant $\text{Re}\{\beta\}$. As the damping of LRSPs
 150 is lower than that of SPs, their excitation is accompanied
 151 with a narrower resonant dip and larger enhancement of the
 152 intensity of electromagnetic field $|E|^2$ on the metallic surface
 153 which can reach up to two orders of magnitude.

154 In the grating coupler, the diffraction on a periodically
 155 modulated surface is employed to enhance the propagation
 156 constant of a light beam to match that of a surface plasmon
 157 $\text{Re}\{\beta\}$. As seen in Fig. 4(a), a light beam propagating in a
 158 dielectric with a refractive index n_d is incident at a relief
 159 metallic grating with grooves perpendicular to the plane of
 160 incidence. Upon the incidence, the light beam is partially
 161 reflected and partially coupled to a series of diffracted waves.
 162 The component of the wave vector of a diffracted wave that
 163 is parallel to the grating surface is altered as follows:

164 $k_{xp} = k_0 n_d \sin(\theta) + p \frac{2\pi}{\Lambda}$, (4)

165 where θ is the angle of incidence of the light beam, Λ is the
 166 period of the diffraction grating, and an integer p is the order
 167 of a diffracted wave. The parallel component of the propa-
 168 gation constant of a diffracted wave k_{xp} can be matched to
 169 the real part of the propagation constant of a SP guided along
 170 the metallic grating surface. For a shallow modulation of the
 171 grating, the SP propagation constant approximates that for a
 172 planar surface expressed by Eq. (1) and the coupling condi-
 173 tion takes the form

174 $k_0 n_d \sin(\theta) + p \frac{2\pi}{\Lambda} = \pm \text{Re}\{\beta\}$. (5)

175 Analogous to the prism coupler, the excitation of a SP
 176 wave on the surface of a metallic diffraction grating is mani-
 177 fested as a resonant dip (for the coupling through odd dif-

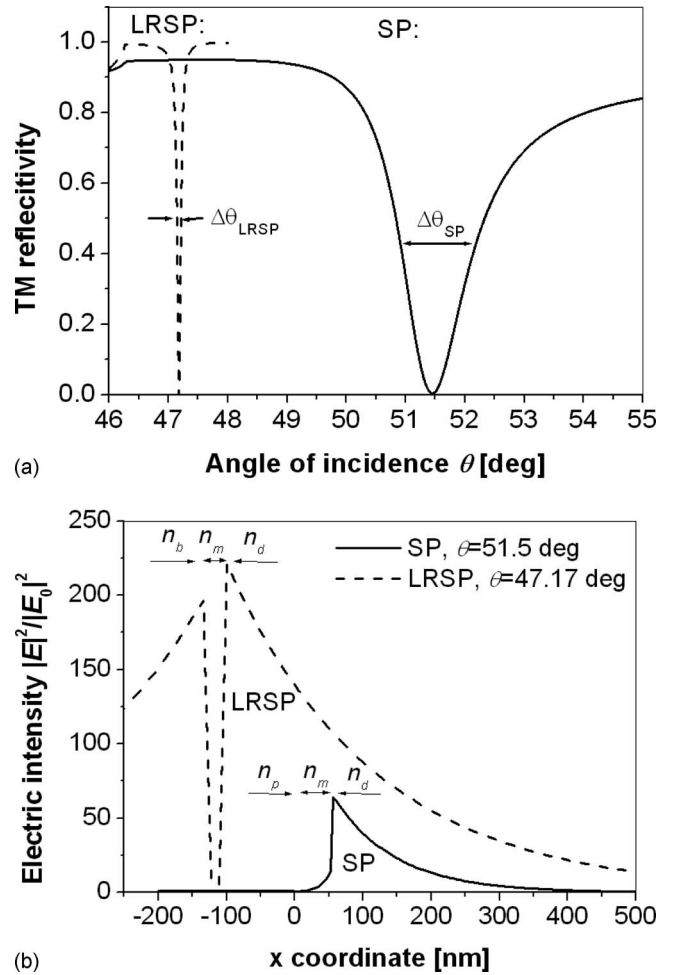


Fig. 3. Simulations of (a) angular reflectivity spectra and (b) the electric intensity field distribution for the prism coupling to SPs and LRSPs at the wavelength of $\lambda=0.633 \mu\text{m}$. The following structure was assumed for the excitation of SPs: prism ($n_p=1.845$), gold film ($n_m=0.1+3.5i$ and $d_m=55 \text{ nm}$), and a dielectric ($n_d=1.333$). For the excitation of LRSP, the gold film was replaced by a buffer layer ($n_b=1.340$, thickness of 900 nm) with gold film ($n_m=0.1+3.5i$ and $d_m=22.5 \text{ nm}$) on its top. The electric intensity distribution $|E|^2$ was normalized with that of the incident wave $|E_0|^2$.

fraction orders p) in the reflectivity spectrum and it is accom- 178
 179 panied by the enhancement of intensity of electromagnetic
 180 field on the grating surface, see Fig. 4.

III. SURFACE PLASMON-ENHANCED 181
 FLUORESCENCE SPECTROSCOPY 182

A fluorophore is a molecule that can absorb a photon of a 183
 184 specific wavelength and re-emit it at another higher wave-
 185 length. As seen in the Jablonski diagram given in Fig. 5,
 186 upon the absorption the fluorophore is excited from its
 187 ground state S_0 to a higher singlet state S_1 , followed by the
 188 spontaneous relaxation. In a free space, the fluorophore can
 189 recombine back to the ground state S_0 by emitting another
 190 photon at a higher wavelength (radiative decay channel) or
 191 without emitting a photon, e.g., due to collisional quenching
 192 (nonradiative decay channel). The fluorescence emission rate
 193 of P_{em} depends on the excitation rate P_{ex} , the radiative decay
 194 rate P_r , and the nonradiative decay rate P_{nr} as

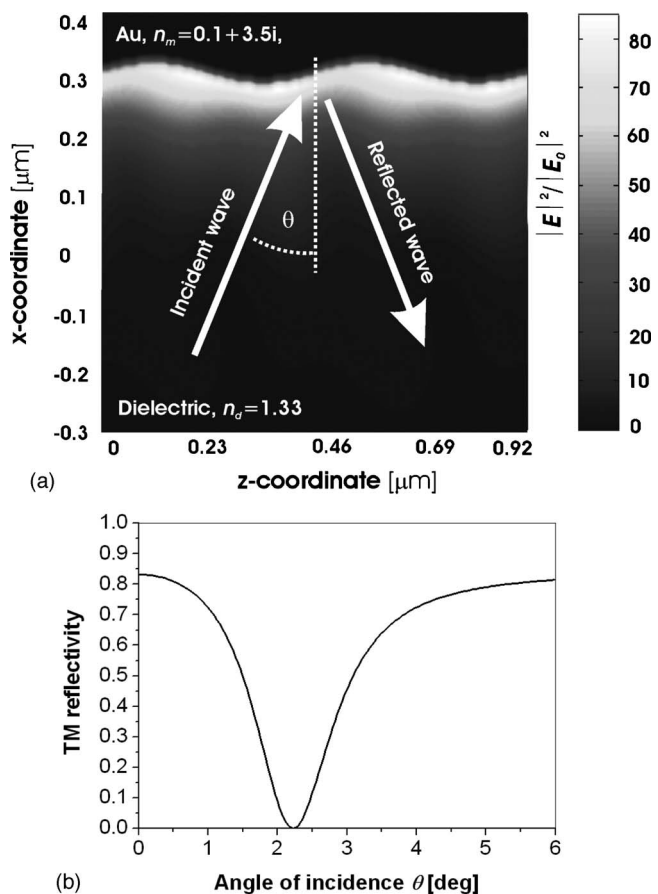


FIG. 4. Simulations of (a) distribution of electric intensity field and (b) angular reflectivity upon the excitation of SPs on a gold sinusoidal diffraction grating with the following parameters: gold with the refractive index of $n_m = 0.1 + 3.5i$ and a dielectric with the refractive index of $n_d = 1.33$, the grating period of $\Lambda = 455$ nm and the modulation depth of 35 nm, plus first diffraction order coupling ($p=1$) and the wavelength of $\lambda = 0.633$ μm . The electric intensity distribution $|E|^2$ was normalized with that of the incident wave in the prism $|E_0|^2$.

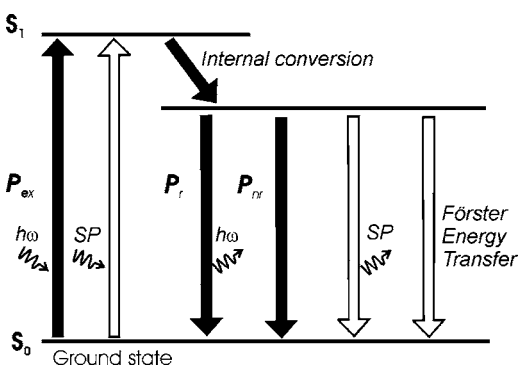


FIG. 5. Jablonski diagram showing transitions taking place within a fluorophore in a free space (black arrows) and additional excitation of decay channels occurring in the proximity to a metallic interface (black and white arrows).

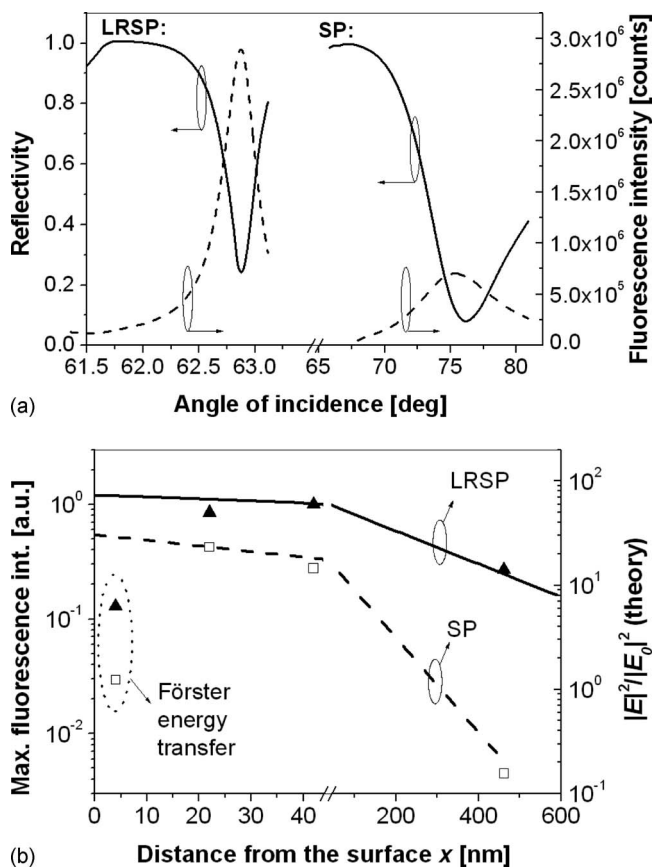


FIG. 6. Comparison of the fluorescence signal measured from a layer loaded with chromophore Alexa Fluor 647 that was probed with LRSPs and SPs: (a) angular reflectivity and fluorescence intensity spectra for the distance between chromophores and the metallic surface of 42 nm; (b) the dependence of the maximum fluorescence intensity on the distance between chromophores and the metallic surface.

$$P_{em} \propto P_{ex} \frac{P_r}{P_r + P_{nr}}. \quad (6) \quad 195$$

Let us note that the quantum yield defined as $Q = P_r / (P_r + P_{nr})$ is in the range of 0.5–0.9 and the lifetime $\tau = (P_r + P_{nr})^{-1}$ is between 1 and 10 ns for most commonly used organic chromophores.

As Eq. (6) shows, the fluorophore emission rate P_{em} increases with the excitation rate P_{ex} . Far from the saturation, the excitation rate P_{ex} is proportional to the intensity of electromagnetic field at the absorption wavelength. Therefore, the emission rate P_{em} can be increased by placing a fluorophore within the enhanced intensity of surface plasmon field leading to higher intensity of emitted fluorescence light. This feature is illustrated in Fig. 6(a), which shows the angular reflectivity spectra measured upon the excitation of SP and LRSP and the accompanied intensity of fluorescence light emitted from a monolayer of chromophore-labeled molecules on a SPR active metallic surface. This figure reveals that the maximum fluorescence signal occurs upon the resonant coupling to surface plasmon modes. In addition, it shows that the peak fluorescence intensity measured upon

215 the chromophore excitation via LRSPs is larger than that
 216 obtained for the excitation through SPs.²³ Figure 6(b) shows
 217 the dependence of the fluorescence signal on the distance
 218 between the chromophore and the metallic surface. At dis-
 219 tances larger than 40 nm, the fluorescence intensity exponen-
 220 tially decays from the metal surface due to the evanescent
 221 profile of surface plasmon field. LRSPs excite fluorophores
 222 more efficiently compared to SPs owing to the lower damp-
 223 ing and more extended field profile.

224 If a fluorophore is placed in a close proximity to a metal-
 225 lic surface, besides the surface plasmon assisted excitation
 226 channel also two new decay channels are open, see Fig. 5.
 227 First, a nonradiative decay channel due to the Förster energy
 228 transfer between the fluorophore and electrons in a metal
 229 quenches the fluorescence signal at distances up to 10–15
 230 nm. Second, a strong coupling of fluorescence light to sur-
 231 face plasmons occurs at distances up to several hundreds of
 232 nanometers from the metal surface.²⁴ On flat optically thick
 233 metal layers, these surface plasmons are not coupled with far
 234 field photons and thus the fluorescence light trapped in these
 235 modes is dissipated. However, this decay channel can be
 236 turned to be radiative by using an appropriate out-coupling
 237 scheme for surface plasmons. Diffraction grating
 238 couplers^{25,26} as well as prism couplers²⁴ were demonstrated
 239 to enable the recovering of fluorescence light that was emit-
 240 ted to surface plasmons. In addition, nanostructured metallic
 241 surfaces exhibiting a plasmonic bandgap at the emission
 242 wavelength of a fluorophore offers another possibility to re-
 243 duce the dissipation of fluorescence light due to the coupling
 244 to surface plasmon modes.²⁷ Let us note that the interaction
 245 of a fluorophore with surface plasmons depends on the ori-
 246 entation of its dipole with respect to the metallic surface.²⁸
 247 For illustration purposes we present in Fig. 7 the simulations
 248 performed by Calander,²⁹ showing the angular distribution of
 249 the intensity of the electromagnetic field emitted by a chro-
 250 mophore dipole oriented normal to a thin silver film on a
 251 glass prism. One can see that the coupling of the fluores-
 252 cence light into surface plasmons and their subsequent out-
 253 coupling via the glass prism provides a highly directional
 254 fluorescence emission pattern.

255 In general, the interaction of fluorophores with surface
 256 plasmons enables the implementation of advanced schemes
 257 for fluorescence spectroscopy-based biosensors. First, the en-
 258 hanced intensity of the electromagnetic field on a metallic
 259 surface associated with the resonant excitation of surface
 260 plasmon modes allows for orders of magnitude higher exci-
 261 tation rates P_{ex} which directly translates to an increase in the
 262 fluorescence signal.³⁰ Second, the fluorescence emission to
 263 surface plasmons and their subsequent out-coupling enables
 264 to control the angular emission pattern and thus to achieve
 265 higher yield in the fluorescence light detection.^{29,31} Third, the
 266 decreased lifetime of a chromophore in the vicinity to the
 267 metal³² was shown to suppress the photobleaching of organic
 268 chromophores.^{33,34}

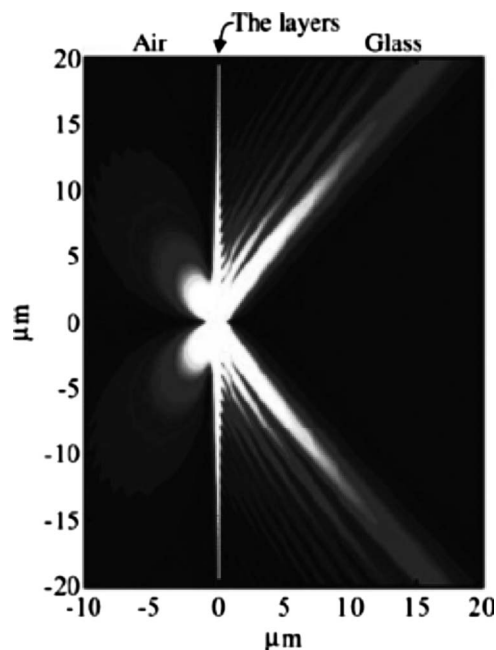


FIG. 7. Surface plasmon mediated fluorescence emission: simulations of the distribution of intensity of electromagnetic field emitted by a fluorophore deposited on a thin silver film with a dielectric spacer on the top of a glass prism. Reprinted with permission from Ref. 29. Copyright 2004 American Chemical Society.

IV. BIOSENSORS BASED ON SURFACE PLASMON-ENHANCED FLUORESCENCE SPECTROSCOPY

A. Optical platforms

269
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 271
 272
 273 The implementation of a biosensor utilizing SPFS was
 274 first reported by Attridge *et al.*³⁵ in early '90s of the last
 275 century and after a decade it was reintroduced in a simplified
 276 version by Lieberman and Knoll.⁹ Typically, a setup based
 277 on angular modulation of SPR is combined with fluorescence
 278 spectroscopy detection as shown in Fig. 8. A monochromatic
 279 laser beam is coupled to surface plasmons on a metallic sen-
 280 sor surface by using ATR method with the Kretschmann geo-
 281 metry. To the surface, biomolecular recognition elements
 282 are anchored for the specific capture of target molecules con-

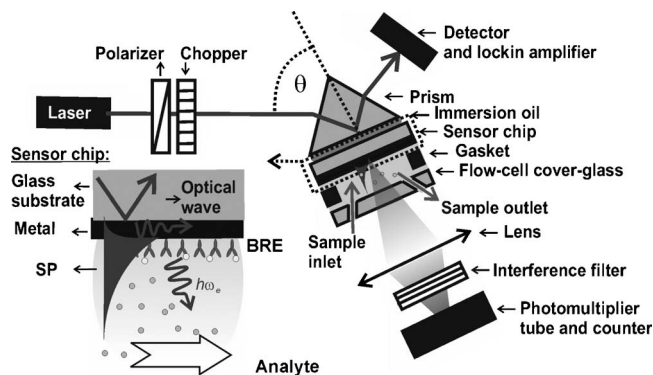


FIG. 8. An optical setup supporting a biosensor based on SPFS with SPR prism coupler and the angular modulation of SPR.

283 tained in a liquid sample that is flowed through a flow cell on
 284 its top. The target molecules are labeled with fluorophores of
 285 which absorption band matching the wavelength of the exci-
 286 tation laser beam. The enhanced intensity of the electromag-
 287 netic field that is associated with the coupling to surface
 288 plasmons provides an efficient excitation of fluorophore-
 289 labeled molecules adhered to the surface. Due to the evanes-
 290 cent profile of surface plasmon field, only molecules cap-
 291 tured at the surface are excited while those contained in the
 292 bulk sample are not. The fluorescence light emitted from the
 293 sensor surface passes through the transparent flow cell, is
 294 collected by a lens, and its intensity is measured by a photo-
 295 multiplier. In order to suppress the background signal due to
 296 the scattering of the light beam at the excitation wavelength,
 297 a band-pass filter with the transmission window at the fluo-
 298 rophore emission wavelength is mounted after the lens for
 299 collecting the fluorescence light. By using this setup, the
 300 binding of fluorophore-labeled molecules to the sensor sur-
 301 face is observed as a strong peak in the angular fluorescence
 302 spectrum [see Fig. 6(a)]. The maximum fluorescence signal
 303 which occurs upon the resonant coupling to SPs can be mea-
 304 sured as a function of time which enables the monitoring
 305 kinetics of biomolecular reactions on the sensor surface.

306 A laser beam with a wavelength λ in the red or near
 307 infrared part of spectrum is often used for the excitation of
 308 surface plasmons in SPFS-based biosensors due to the avail-
 309 ability of many organic chromophore labels with absorption
 310 band in this spectral region. For these wavelengths, a thin
 311 SPR active gold film is typically deposited on the sensor
 312 surface by, e.g., sputtering or thermal evaporation. In SPFS
 313 biosensors, gold SPR active coatings offer the advantage of
 314 good chemical stability, large enhancement of electromag-
 315 netic field upon the coupling to surface plasmons, and nu-
 316 merous surface chemistries for attaching biomolecular recog-
 317 nition elements available. In order to excite fluorophores
 318 with the absorption band at lower wavelengths, a layer struc-
 319 ture consisting of a thin silver film and a gold overlayer
 320 (thickness of several nanometers) was used. For example,
 321 such structure allows for an efficient excitation of fluoro-
 322 phore labels at the wavelength $\lambda=543$ nm via the enhanced
 323 field of surface plasmons.^{36,37} Another layer structure con-
 324 sisting of 50 nm thick silver layer and a 5 nm silicon dioxide
 325 film was used for the SPFS with the excitation wavelength of
 326 $\lambda=532$ nm.³⁸ Recently, the SPFS technique was combined
 327 with the excitation of long range surface plasmon modes
 328 (LRSPs).^{23,39} The excitation of LRSPs can occur in a refrac-
 329 tive index symmetrical structure and it provides higher en-
 330 hancement of electromagnetic field compared to conven-
 331 tional surface plasmons. For the prism coupling to LRSPs, a
 332 layer structure consisting of a low-refractive index buffer
 333 layer, thin gold film, and an aqueous sample was used. The
 334 low-refractive index buffer layers were prepared from Teflon
 335 AF (from Dupont, Inc., USA, refractive index of $n_b \approx 1.31$)
 336 and Cytop (from Asahi, Inc., Japan, $n_b \approx 1.34$) polymers
 337 which can be spin coated on the sensor surface.^{23,39}

338 In the implementation of SPFS-based biosensor promoted
 339 by Liebermann and Knoll,⁹ the coupling to surface plasmons

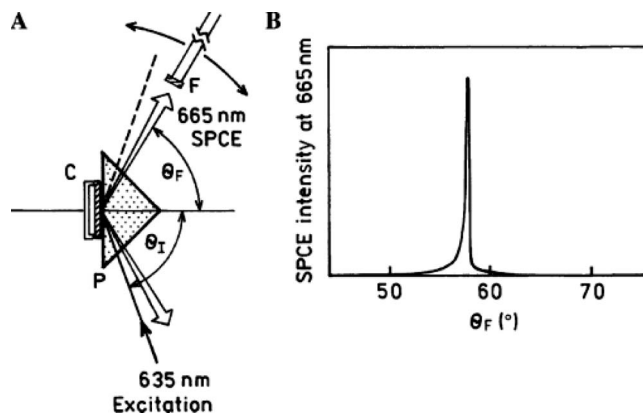


FIG. 9. (a) Scheme of an optical setup for prism out-coupling of the fluorescence light emitted to surface plasmons and its collecting by using an optical fiber (F). (b) The angular spectrum of the fluorescence light intensity measured upon the excitation of Alexa Fluor 647-labeled molecules deposited on the sensor surface at the emission wavelength of $0.665 \mu\text{m}$. Reprinted with permission from Ref. 41.

provides a strong enhancement of the excitation rate P_{ex} of
 labeled molecules captured on the sensor surface. However,
 a substantial portion of the fluorescence light is emitted to
 surface plasmon modes and does not reach the detector. In
 order to increase the efficiency in the fluorescence detection,
 the light emitted by fluorophores to surface plasmons can be
 recovered by surface plasmon out-coupling—a process inverse
 to surface plasmon excitation.^{25,26} The implementation
 of this approach to SPFS-based biosensor was reported only
 recently by Lakowicz and co-workers.^{40,41} In these works a
 SPR prism coupler served both for the excitation of adhered
 fluorophores and for the collecting of fluorescence light
 through out-coupling of surface plasmon at the emission
 wavelength, see Fig. 9. Moreover, Matveeva *et al.*⁴² showed
 that the out-coupling of fluorescence light emitted to surface
 plasmon offers an elegant way for color multiplexing of sur-
 face reactions. Because the out-coupling of surface plasmons
 occurs at distinct angles for different wavelengths, the fluo-
 rescence signal originating from the binding of molecules
 labeled with fluorophores exhibiting different emission
 wavelengths can be measured independently at separate
 angles.

For parallel detection of multiple reactions on the sensor
 surface, fluorescence spectroscopy was combined with sur-
 face plasmon microscopy.⁴³ In this approach, a large diam-
 eter laser beam was coupled to a SPR prism coupler to excite
 surface plasmons on the sensor chip area with an array of
 sensing spots. The spatial distribution of the fluorescence
 signal across the chip was measured by using imaging optics
 and a charge coupled device (CCD) detector. In addition, the
 color multiplexing was implemented into surface plasmon-
 enhanced fluorescence microscopy by using a color CCD
 camera and quantum dot labels exhibiting well defined dis-
 tinct peaks in emission wavelength spectrum.³⁶

The relatively simple setup of SPFS-based sensor, which
 was originally used by Liebermann and Knoll,⁹ allows for
 the detection of the binding of ultrasmall amount of fluoro-

phores adhered to the sensor surface. From the data presented by Yu *et al.*,⁴⁴ one can estimate that a detectable fluorescence signal can be measured from as low as $\sim 10^{-3}$ fluorophores/ μm^2 . Moreover, the used optical configurations enables simultaneous detection of molecular binding through fluorescence signal (SPFS readout) as well as through induced refractive index changes (SPR readout). This feature can provide additional information on the investigated interactions^{45–47} and can be used for the calibration of the fluorescence signal.⁴⁴

B. Surface architectures for immobilization of biomolecules

In contrast to SPR biosensors relying on the measurement of refractive index changes, their SPFS counterparts do not exhibit the highest sensitivity to biomolecular binding that occurs directly at the metallic sensor surface. The optimum distance between a fluorophore and a metallic surface providing maximum fluorescence signal was experimentally determined to be approximately 30 nm. At this distance, the effects of the exponential decay of the SP electromagnetic field intensity and the Förster energy transfer quenching are balanced.³¹ Therefore, the design of a surface architecture (and detection assay) should provide a spacer of a similar thickness between the metal and captured fluorophore-labeled molecules.

For the immobilization of DNA or PNA probes, mostly mixed thiol self-assembled monolayers (SAMs) with biotin moieties were deposited on a gold sensor surface and the biotinylated probes were subsequently attached by using avidin or streptavidin linkers.^{14,48} An alternative approach based on the immobilization of DNA probes into a plasma polymerized allylamine network was shown to provide similar performance as this two-dimensional architecture.⁴⁹ Protein catcher molecules were typically immobilized by using the active ester chemistry to a gold surface modified by thiol SAM with carboxylic groups⁵⁰ or by using biotin-streptavidin chemistry to a surface with biotin terminated thiol SAM.⁵⁰ In addition, the incorporation of proteins into phospholipid bilayers tethered to a metal surface was reported.^{47,51,52} In order to prevent the fluorescence quenching and to exploit the whole evanescent field of surface plasmons for the excitation of fluorophores, a three-dimensional binding matrices based on a dextran brush were used for the immobilization of protein^{44,53} and DNA (Ref. 54) catcher molecules. For parallel detection of multiple DNA hybridization events, spotting of the DNA probes on the sensor surface was performed^{36,43} and electrochemically addressable deposition of DNA arrays was developed.³⁷

C. Labeling of biomolecules with fluorophores

As fluorescent labels, mostly organic dye molecules are employed. Typically, dye molecules with an absorption band in the red and near infrared part of the spectrum (e.g., Cy5) are employed as at these wavelengths surface plasmons can be easily excited on most commonly used gold surfaces. Analyte molecules can be labeled with organic fluorophores

either enzymatically (DNA by using labeled primers for the polymerase chain reaction) or through a chemical reaction (proteins). One of the main drawbacks of organic fluorescent dye molecules is their photobleaching, which limits the number of possible excitation-emission cycles. Recently, quantum dots were introduced to SPFS-based biosensors.³⁶ These novel labels offer better photostability compared to organic fluorophores. Quantum dots exhibit a broad absorption band in the UV part of the spectrum and a narrow well defined emission band at a wavelength which can be tuned by their size. However, an effect referred to as blinking was reported^{55,56} which complicates the binding analysis.⁵⁶

D. Analysis of oligonucleotides

Surface plasmon-enhanced fluorescence spectroscopy provides a highly sensitive platform for the analysis of interactions of DNA.⁵⁷ Yao *et al.*¹⁴ demonstrated the detection of trace amounts of polymerase chain reaction amplicons with the limit of detection of 500 fM. In this work, DNA probes were attached to the sensor surface through streptavidin-biotin surface chemistry. By using the same surface chemistry and peptide nucleic acid (PNA) probes, fivefold reduced limit of detection of 100 fM was reported.¹⁴ Moreover, SPFS was proved to be a suitable technique for the measurement of kinetic parameters of DNA hybridization by Yu *et al.*,⁵⁸ who showed that the determined kinetic binding constants are identical to those obtained by label-free SPR biosensors.

By using SPFS, extensive investigation of mismatched DNA interactions was performed in order to develop a sensitive platform for the detection of mutations. For instance, Lieberman *et al.*⁵⁷ investigated the effect of different mismatched base pairs to the stability of DNA duplexes. They demonstrated that T-G mismatched base pairs produce a more stable duplex than the T-C base pair mismatches. Tawa and Knoll⁵⁹ found that a double stranded DNA is more destabilized if the mismatched base pair between the captured DNA strand and the anchored DNA probe is located farther away from the solid sensor surface. For PNA probes, affinity binding constants for the interaction with mismatched DNA monomers were measured by Park *et al.*⁶⁰ This work demonstrated possible discrimination of mismatches in analyzed DNA samples. A single base mismatch in a 15-mer DNA decreased the affinity constant for the binding to a 15-mer PNA probe by two orders of magnitude, see Fig. 10. Afterward, Tawa *et al.*⁶¹ investigated the implementation of this approach for the detection of DNA mutations in a mixture of target molecules.

In addition to high sensitivity, optical setups supporting SPFS-based biosensors allow for the simultaneous label-free (SPR) and fluorescence-based (SPFS) observation of events occurring on the sensor surface. To Stengel and Knoll,⁴⁵ this feature enabled the study of the elongation of DNA molecules by the action of DNA polymerase I. In their work, single stranded DNA molecules were immobilized to the sensor surface by streptavidin-biotin surface chemistry and their interaction with the DNA polymerase I and a mixture of deoxynucleotidetriphosphates was monitored. The combina-

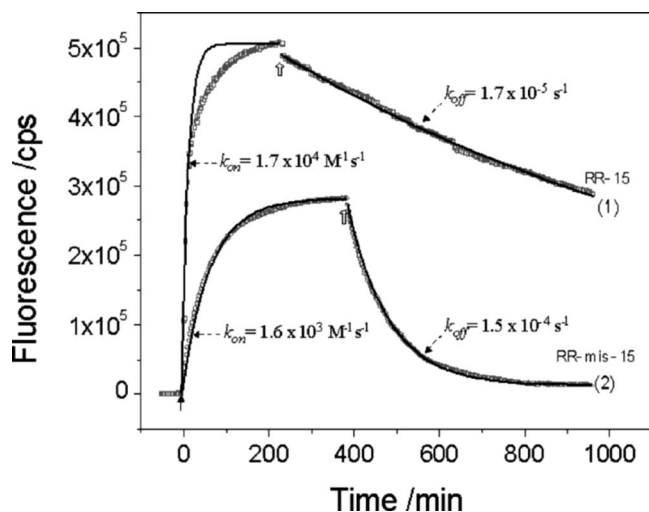


FIG. 10. Measured hybridization kinetics for the binding of DNA 15-mer molecules with complementary bases (1) and with a single mismatch (2) to PNA probes on the sensor surface. The kinetics was fitted with Langmuir model to determine the association and dissociation affinity binding constants k_{on} and k_{off} , respectively. Reprinted with permission from Ref. 60.

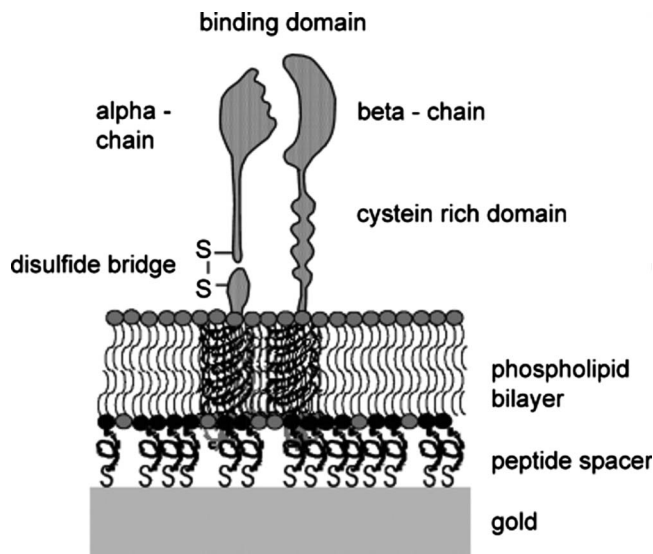


FIG. 11. Scheme of an integrin receptor molecule incorporated into a peptide-tethered lipid membrane. Reprinted with permission from Ref. 47.

488 tion of SPR and SPFS allowed for the discrimination of the
 489 sensor response due to the incorporation of DNA polymerase
 490 I enzyme, the oligonucleotide elongation, and the release of
 491 the enzyme. The separation of response due to enzyme bind-
 492 ing and enzyme activity allowed for the simultaneous mea-
 493 surement of binding and catalytic constants for this reaction.
 494 SPFS-based biosensors for the analysis of DNA interac-
 495 tions were combined with an array detection format by using
 496 surface plasmon-enhanced fluorescence microscopy.^{36,43} The
 497 potential of this approach for high-throughput analysis of
 498 DNA interactions was demonstrated by Lieberman and
 499 Knoll.⁴³ In this work, the interactions of three different DNA
 500 molecules and three different probes spotted on the sensor
 501 surface were investigated. Samples with different
 502 chromophore-labeled DNA molecules were sequentially in-
 503 jected to the sensor with an array of DNA probes and the
 504 kinetic parameters for each reaction were simultaneously de-
 505 termined. Lately, Robelek *et al.*³⁶ explored the possibility to
 506 extend the SP-enhanced microscopy by employing the spec-
 507 trometry. These authors showed that the spectrometry en-
 508 ables the implementation of color multiplexing of surface
 509 reactions. To each DNA analyte, quantum dot labels with
 510 specific emission band were attached. These quantum dot
 511 labels were excited at the same wavelength of $\lambda=543$ nm
 512 and the spatial distribution and wavelength spectra of the
 513 fluorescence light were measured. The measurement of the
 514 fluorescence light spectra upon the injection of a mixture of
 515 all DNA analytes enabled the binding monitoring for each
 516 combination of target molecule-probe simultaneously.

517 E. Analysis of membrane proteins

518 The biosensor platform enabling the simultaneous moni-
 519 toring of refractive index changes (SPR) and fluorescence
 520 signal (SPFS) was applied for the investigation of membrane
 521 proteins embedded in biomimetic lipid layers.^{47,51,52} In these

522 applications, the formation of planar lipid membranes was
 523 observed by SPR via induced refractive index changes and
 524 the activity of incorporated membrane proteins was tested by
 525 SPFS method. Schmidt *et al.*⁶² investigated the immobiliza-
 526 tion of the acetylcholine receptor (AChR) ion channels into a
 527 thiopeptide-lipid monolayer. The incorporation and proper
 528 orientation of AChR proteins were monitored by the SPFS
 529 detection of the binding of specific fluorophore-labeled anti-
 530 bodies. Afterwards, the formation of artificial peptide-
 531 supported lipid bilayers and the incorporation of integrin
 532 transmembrane receptors $\alpha_v\beta_3$ and $\alpha_1\beta_1$ by vesicle spread-
 533 ing was investigated, see Fig. 11. By using similar biomi-
 534 metic system, Sinner *et al.*⁴⁷ studied the orientation and ac-
 535 cessibility of incorporated integrins by the SPFS detection of
 536 binding of specific antibodies. They demonstrated that inte-
 537 grins retained their biological functionality through the SPFS
 538 observation of their interaction with natural ligands. Later,
 539 Lössner *et al.*⁵¹ extended these studies by the investigation of
 540 the interaction of integrins with synthetic mono- and oligo-
 541 meric RGD-based (Arg-Gly-Asp) peptides and peptidomi-
 542 metics. Williams *et al.*⁶³ explored the interaction of the
 543 membrane-lysing enzyme phospholipase with phospholipid
 544 bilayers immobilized to the surface. The enzyme binding and
 545 vesicle lysis were observed through SPR and the permeabi-
 546 lization by SPFS measurements, respectively.

547 F. Immunoassay-based biosensors

548 Research has been carried out toward the implementation
 549 of SPFS to immunoassay-based biosensors over the last
 550 years. Vareiro *et al.*⁵⁰ investigated the efficiency of the cap-
 551 ture of target molecules on a sensor surface depending on the
 552 orientation of anchored antibody receptors. They measured
 553 the binding of human chorionic gonadotropin (hCG) con-
 554 tained in a buffer to the antibodies against β subunit of hCG
 555 which were attached to the surface. These antibodies were

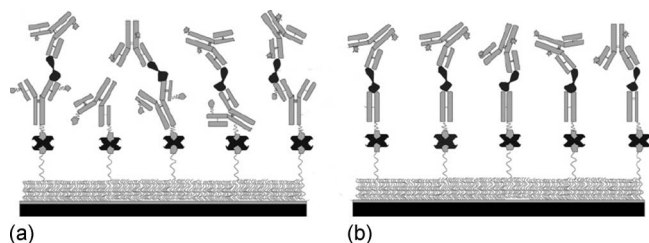


FIG. 12. Schematic representation of sandwich immunoassay for detection of hCG: (a) sensor surface with randomly biotinylated antibody and (b) sensor surface with Fab-hCG monobiotinylated fragment. Reprinted with permission from Ref. 50. Copyright 2005 American Chemical Society.

556 labeled with a biotin and were coupled to biotin moieties on
 557 the surface by using a streptavidin linker. The IgG antibodies
 558 with randomly distributed biotin labels [see Fig. 12(a)] and
 559 monobiotinylated Fab fragments [see Fig. 12(b)] were tested.
 560 Using the sandwich assay and fluorescence dye-labeled sec-
 561 ondary antibodies, the limit of detection of hCG reaching 4
 562 pM (0.2 ng ml^{-1}) was obtained when antibody receptors
 563 were randomly oriented. By using the ordered monobiotiny-
 564 lated Fab fragments on the sensor surface, the limit of detec-
 565 tion was improved to 0.6 pM (30 pg ml^{-1}). The detection of
 566 hCG was performed in cycles by using the regeneration of
 567 the sensor surface with 10 mM glycine-HCl buffer. Each
 568 detection cycle was shorter than 60 min.

569 Yu *et al.*⁴⁴ developed an immunosensor utilizing a three-
 570 dimensional binding matrix for the immobilization of recep-
 571 tors. In SPFS-based biosensors, this surface architecture of-
 572 fers two key advantages. First, a three-dimensional binding
 573 matrix provides a high binding capacity. Second, the binding
 574 of chromophore molecules can occur within the whole evan-
 575 escent field of the surface plasmon at distances where fluo-
 576 rescence quenching does not occur. In the work of Yu *et al.*,⁶⁴
 577 CM5 chip (commercially available from Biacore, Inc., Swe-
 578 den) with a dextran brush was used for the immobilization of
 579 α -IgG catcher molecules by using active ester chemistry.
 580 This surface architecture in conjunction with SPFS allowed
 581 for highly sensitive detection of Alexa Fluor 647-labeled IgG
 582 molecules with the limit of detection of 0.5 fM . In these
 583 experiments, the detection was performed in a buffer solu-
 584 tion and the incubation time was approximately 2 h. After-
 585 ward, this approach was implemented in a biosensor for the
 586 detection of free prostate specific antigen (f -PSA) in human
 587 plasma.⁵³ As illustrated in Fig. 13(a), a sandwich immunoas-
 588 say was used for the detection of this prostate cancer marker.
 589 For the detection in human plasma, the nonspecific binding
 590 to the negative charged dextran brush at the surface was
 591 greatly reduced by spiking the samples with a negatively
 592 charged carboxymethyl dextran. The biosensor was possible
 593 to regenerate for repeated use and it was capable of f -PSA
 594 detection at concentrations of as low as 80 fM (2 pg ml^{-1})
 595 after 40 min flow of a sample through the sensor.

596 An optical setup, which utilized surface plasmon-
 597 enhanced excitation of chromophores and the out-coupling
 598 of fluorescence light emitted to surface plasmons (surface
 599 plasmon coupled emission—SPCE) by a prism coupler, was

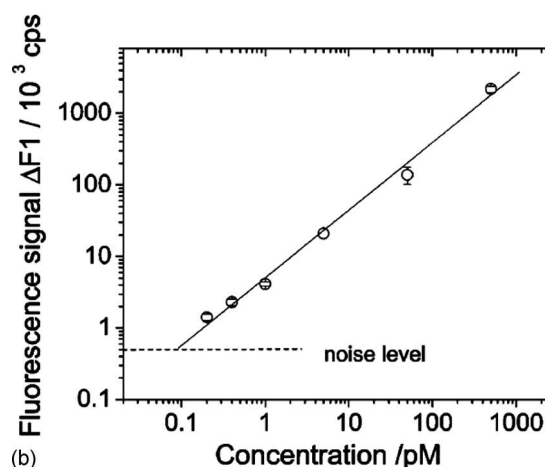
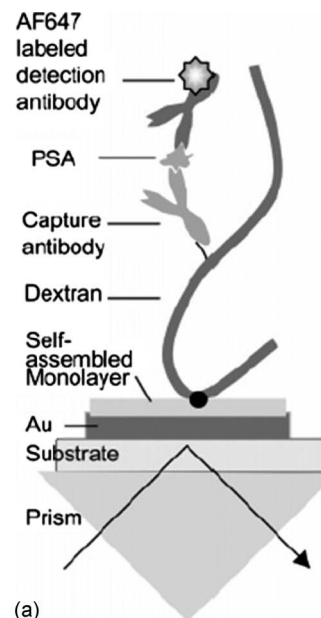


FIG. 13. (a) Schematic of SPFS-based sandwich f -PSA assay and a dextran binding matrix. (b) Calibration curve for the f -PSA detection in the plasma. Reprinted with permission from Ref. 53. Copyright 2004 American Chemical Society.

implemented in an immunosensor, see Fig. 9. By using
 SPCE method, the immunoassay-based detection in serum
 and whole blood samples was investigated by Matveeva *et*
*al.*⁴¹ These authors nonspecifically adsorbed IgG molecules
 to the sensor surface and measured the capture of
 chromophore-labeled α -IgG antibodies from the whole blood
 samples at concentrations down to 10 nM ($0.15 \text{ } \mu\text{g ml}^{-1}$).
 Similar technique was used for the detection of myoglobin
 by using sandwich immunoassay.³⁸ In this biosensor, the
 detection assay included 1–2 h incubation of myoglobin sample
 with the sensor surface and the limit of detection of 3 nM
 (50 ng ml^{-1}) was achieved for this cardiac marker.

Only recently, the SPFS technique was combined with the
 excitation of special surface plasmon modes referred to as
 LRSPs which allows for higher enhancement of electromag-
 netic field intensity compared to conventional surface
 plasmons.^{23,39} The LRSP-enhanced fluorescence spectros-

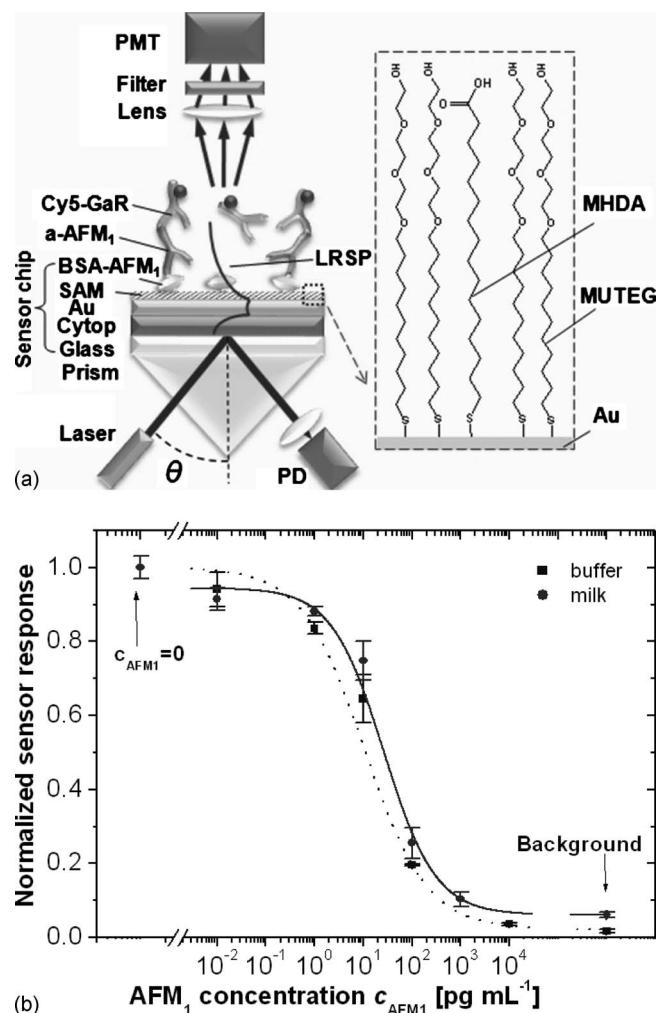


FIG. 14. (a) Schematic of a LRSP-enhanced fluorescence spectroscopy for the detection of aflatoxin M_1 (AFM_1) in milk by using an inhibition immunoassay. (b) Measured calibration curve for AFM_1 detection in buffer and milk samples.

copy was applied for the detection of aflatoxin M_1 (AFM_1) in milk samples by Yi *et al.*⁶⁵ By using inhibitory competitive immunoassay, the limit of detection of 1.8 pM (0.6 pg mL^{-1}) was achieved. The scheme of the sensor assay and the calibration curve are depicted in Fig. 14. The analysis of a milk sample was performed in 53 min including its centrifuging, the incubation with specific antibody, and the detection of unreacted antibody captured on a sensor surface that was modified with the conjugate of bovine serum albumin and AFM_1 .

V. SUMMARY AND OUTLOOK

Over the past two decades, extensive research has been devoted to surface plasmon mediated fluorescence. This work paved the way toward the development of variety of biosensors exploiting on surface SPFS as described in this review. This method offers the advantage of ultrahigh sensitivity (detection of subfemtomolar concentrations of target analytes is possible), relative simplicity, and compatibility with label-free SPR biosensors. Since the introduction of

SPFS to SPR-based biosensors in the beginning of this decade, various optical configurations, techniques for multiplexing of sensing channels, and surface chemistries were developed. The applications of SPFS biosensors range from biomolecular interaction analysis to immunoassay-based detection of chemical and biological analytes. In the future, we envision a growing number of studies taking advantage of the combined label-free and the SPFS-based observation of biomolecular interactions. In addition, the implementation of SPFS technique for ultrahigh sensitive biosensors needed in various important fields such as medical diagnostics and food control will very likely become reality.

ACKNOWLEDGMENTS

The authors gratefully acknowledge the financial support of the European Commission in the Communities 6th Framework Programme, Project TRACEBACK (Grant No. FOOD-CT-036300) coordinated by Tecnoalimenti. This work reflects the authors' views; the Community is not liable for any use that may be made of the information contained in this publication.

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